





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David W. Roth et al.

Application No.: 09/216,206

Confirmation No.: 1079

Filed: December 18, 1998

Group Art Unit: 3622

For: System and method for real-time bidding

for internet advertising space

Examiner: John W. Van Bramer

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

## **VIA FEDEX**

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Dear Sir:

Pursuant to 37 CFR §\$1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08 forms. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The fee of 37 CFR § 1.17(p) is being submitted here of 37 CFR § 1.

References A through E were cited by the Japanese Patent Office in a related Japanese patent application. A substantial – but not a word-by-word – translation of

each Japanese reference is being provided herewith. The translations were provided by Mr. Thurston Smith, a freelance translator.

Reference F – "Ebay" was cited in a related Canadian application.

References G through Y are documents filed in Interference No. 104/443, of parent application no. 08/787,979. While the documents are from the parent application, the documents are not necessarily included in the parent file-wrapper and are therefore being provided for the Examiner's convenience.

Reference Z is an article describing the product development of a predecessor-assignee of the present application.

Whether or not the information and references disclosed in this Information Disclosure Statement is "material" pursuant to 37 CFR §1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists.

Dated: March 1, 2007

Respectfully submitted,

Signature: /Benzion A. Wachsman/

Benzion A. Wachsman

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PTO/SB/08b (09-06)

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Substitute for fo	om 1449B/PTO			Complete if Known			
				Application Number	09/216,206		
	MATION			Filing Date	December 18, 1998		
STATE	MENT B	Y APPLI	CANT	First Named Inventor	David William Roth		
				Art Unit	3622		
	Jse as many sheet	s as necessary)	) 	Examiner Name	John W. Van Bramer		
Sheet	1	of	3	Attorney Docket Number			

MAR 0 5 2007

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
	Α	Japanese Document, A Multi Agent Approach to Service Integration, Technical Report of the EICE Al-96-23 (1996-11) of Japan, Vol. 96 Issue No. 346, Ueno et al., November 2 , 1996 p. 9-16	<b>~</b>				
	В	Japanese Document, An Agent-based Approach to Autonomous Vehicles Scheduling, OKI Electric research and development, OKI Electric, Japan, Vol. 63, Issue No. 3, Unoki et al., July 1, 1996, pp. 79-84	<b>V</b>				
	С	Japanese Document, Marketing Strategy of E-commerce, Diamond, Japan, YASUDA, Ryuji, December 5, 1996, P. 174	<b>~</b>				
	D	Japanese Document, A New Advertising Business Framework in the 1:1 Marketing, Technical Report of the Information Processing Society of Japan, Vol. 37, Issue No. 6, Kohda et al. June 15, 1996, pp. 1235-1236	<b>✓</b>				
	Е	Japanese Document, A Method of Knowledge Escription for Multi Agent System, Forum in 1996 of JSAI, Japan Society for Artificial Intelligence, Matsuda et al., June 27, 1996 p 131-134	<b>✓</b>				
	F	Ebay - Wikipedia, the free Encyclopedia, printed from the Internet on 11/23/2006, pp 1-8					
	G	Roth's Transmittal of Preliminary Statement, paper 27, Interference No. 104,443, 19 pages, 8/15/200	•••••				
	Н	Roth's Preliminary Motion No. 1, paper 21, Interference No. 104,443, 13 pages, 8/15/2000	•••••				
		Roth's Preliminary Motion No. 2, paper 22, Interference No. 104,443, 24 pages, 8/15/2000	•••••				

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Examiner Signature	 Date Considered	

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Substitute for	form 1449B/PTO			Complete if Known		
				Application Number	09/216,206	
INFOR	MATION D	ISCLO	SURE	Filing Date	December 18, 1998	
STATE	EMENT BY	<b>APPLI</b>	CANT	First Named Inventor	David W. Roth	
				Art Unit	3622	
(	Use as many sheets a	s necessary)		Examiner Name	John W. Van Bramer	
Sheet	2	of	3	Attorney Docket Number		

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Tolle I							
	J	Roth's Preliminary Motion No. 3, paper 23, Interference No. 104,443, 18 pages, 8/15/2000						
	К	Roth's Preliminary Motion No. 4, paper 23, Interference No. 104,443, 13 pages, 8/15/2000						
5	L	Roth's First Declaration of Heidi K. Carson, paper 24, Interference No. 104,443, 36 pages, 8/14/2000						
	М	Sundby's Opposition to Roth's Motion No. 1, paper 29, Interference No. 104,443, 7 pages, 9/25/2000	]					
	N	Opposition to Roth's Motion No. 2, paper 30, Interference No. 104,443, 6 pages, 9/25/2000						
	0	Opposition to Roth's Motion No. 3, paper 31, Interference No. 104,443, 2 pages, 9/25/2000	i					
	Р	Opposition to Roth's Motion No. 4, paper 32, Interference No. 104,443, 3 pages, 9/25/2000						
	Q	Reply Brief Relating to Roth's Motion No. 1, paper 33, Interference No. 104, 443, 8 pages, 10/17/2000						
	R	Reply Brief Relating to Roth's Motion No. 2, paper 34, Interference No. 104, 443, 4 pages, 10/17/2000						
	S	Reply Brief Relating to Roth's Motion No. 3, paper 35, Interference No. 104, 443, 3 pages, 10/17/2000						

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Sheet	3	of	3	Attorney Docket Number		

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	Т	Reply Brief Relating to Roth's Motion No. 4, paper 36, Interference No. 104, 443, 3 pages, 10/17/2000	
	U	Roth's Motion No. 5, paper 37, Interference No. 104,443, 8 pages, 12/18/2000	
	٧	Sundby's Opposition to Roth's Motion No. 5, paper 41, Interference No. 104,443, 3 pages, 1/2/2001	
	W	Order to Dismiss Motion No. 5, paper 40, Interference No. 104,443, 2 pages, 1/2/2001	
	Х	Roth's Notice of Withdrawal of Motions Nos. 1-4, paper 42, Interference No. 104,443, 2 pages, 1/10/2001	
***************************************	Υ	Judgment, paper 44, Interference No. 104,443, 2 pages, 1/12/2001	
***************************************	Z	Brian Black, "Database and the Web: Giant Steps - Flycast", Database Programming & Design, Jan. 1997, 4 pages	
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Examiner	Date	
Signature	 Considered	J

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